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# APPLICATION FOR FINANCIAL ASSISTANCE

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## **Oneida County Industrial Development Agency**

584 Phoenix Drive  
Rome, New York 13441-1405  
(315) 338-0393 telephone  
(315) 338-5694 fax

Shawna M. Papale, Executive Director

*A non-refundable application fee of \$500.00 must be submitted at the time of application along with a \$1000 commitment fee that will be submitted at the time of application; the \$1000 commitment fee will be applied at closing.*

*Please submit the original application, SEQR form and Cost/ Benefit and two (2) copies along with an electronic copy of the application, SEQR form and Cost/ Benefit with the applicable fee.*

***All applications must be submitted 10 days prior to meeting.***

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Goodrich Corporation, a UTC Aerospace  
Systems Company

Number (to be provided by the agency)

## ***Note to Applicant:***

The information requested by this application is necessary to determine the eligibility of your project for Agency benefits. Please answer **all** questions, inserting "none" or "not applicable" where appropriate. If you are providing an estimate, please indicate by inserting "est" after the figure. Attach additional sheets if more space is needed for a response than is provided.

Return the original application and two copies with a check in the amount of \$1500.00 made payable to: **Oneida County Industrial Development Agency (OCIDA)**, 584 Phoenix Drive, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Executive Director. \$1000 will be applied at closing against the IDA legal fees. In addition, an electronic version of the application (signed), SEQR form (signed), and Cost/benefit to [spapale@mvedge.org](mailto:spapale@mvedge.org).

Upon the submission of this application to the IDA, this applicant becomes a public document. Be advised that any action brought before the OCIDA is public information. All agenda's for the OCIDA are issued prior to full agency meetings and posted in public domain. If there is information that the applicant feels is proprietary please identify as such and that information will be treated confidentially to the extent permitted by law.

By signing and submitting this Application, the Applicant acknowledges that it received a copy of the Uniform Tax Exemption Policy and the Oneida County IDA Penalty for Failure to Meet Employment Levels as adopted by the Agency and Agency Memorandums pertaining to the benefits of projects financed through the Agency.

A project financed through the Agency involves the preparation and execution of significant legal documents. Please consult with an attorney before signing any documents in connection with the proposed project. You will receive an engagement letter from the OCIDA legal counsel. You will be asked to sign the engagement letter acknowledging you understand the legal fees you will be responsible for them and also acknowledge what the process is. Should you not close and legal services have been rendered by the OCIDA legal counsel, your company will be responsible for those costs.

If your project requires a public hearing, a representative of the applicant is required to be present. A date will be coordinated by the OCIDA legal counsel.

If you have questions how to calculate your companies IDA application fee please consult with the Memorandum to Companies Sale – Leaseback Transactions or please contact the IDA Executive Director.

**Part I: Applicant Information**

**Note:** In responding to the following questions, please keep in mind that the Applicant will be party to all of the documents and is the individual or entity which will receive the actual financial assistance from the Agency.

**Applicant**

1(a) Applicant's Legal Name: Goodrich Corporation, a UTC Aerospace Systems Company, doing business by and through its Power Transmission Systems business unit

1(b) Principal Address: 104 Otis Street  
Rome, New York 13441

1(c) Telephone/Facsimile Numbers: PH: 315-838-1200

Fax: 315-838-1481

1(d) Email Address: jose.colon@utas.utc.com

1(e) Federal Identification Number: 34-0252680

1(f) Contact Person: Jose Colon

1(g) Is the Applicant a  Corporation:  
If yes, Public  Private   
If public, on which exchange is it listed?  
Goodrich Corporation is a wholly owned subsidiary of United Technologies Corporation a NYSE Public corporation

Subchapter S

Sole Proprietorship

General Partnership

Limited Partnership

Limited Liability Corporation/Partnership

DISC

Other(specify) \_\_\_\_\_

1(h) State of Organization (if applicable) New York

**Applicant's Stockholders, Directors and Officers (or Partners)**

2(a) Provide the following information with respect to parties with 15% or more in equity holdings:

<u>Name</u>	<u>Address</u>	<u>Percentage of Ownership</u>
United Technologies Corporation	One Financial Plaza Hartford, CT 06101	100% *

\*

2(b) Is the Applicant, or any of the individuals listed in 2(a) above, related directly or indirectly to any other entity by more than 50% common ownership? If so, indicate name of such entity and the relationship.

See Attached

2(c) Is the Applicant affiliated with any other entity, directly or indirectly, other than as listed in the response to 2(a) above? If yes, please indicate name and relationship of such other entity and the address thereof:

See Attached

**Applicant's Counsel and Accountant**

3(a). Applicant's Attorney

Name/Title: Frank DiPiero, Associate General Counsel  
Firm: UTC Aerospace Systems, Engine Components Division  
Address: 2730 West Tyvola Road  
Charlotte, NC 28217  
Telephone/Fax: 704 423-8634

3(b) Applicant's Accountant

Name/Title: \_\_\_\_\_  
Firm: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone/Fax: \_\_\_\_\_

**Business Description**

4(a) Describe the nature of your business and principal products and/or services. Attach additional sheets if necessary.

Goodrich Corporation, at the Rome, NY location, designs and manufactures power transmission products for the aerospace and industrial markets. Our aerospace applications are on military and commercial helicopters and all US and European fighter and bomber aircraft. Our industrial applications serve the petrochemical industry. Major customers include Bell Helicopter, the US Army and Navy, Northrup Grumman, Sikorsky, Lockheed Martin, Exxon Mobile and GE.

In 2001, Goodrich moved from an old, poorly maintained inefficient building located in Utica, NY, to its current location, a purpose-built facility. Since this move, revenue has tripled and employment has grown from 175 permanent full time employees to 235 permanent full time employees. The business currently enjoys a strong order book for 2013 and into 2014. In an area known for consistent losses of good manufacturing jobs, Goodrich stands out as a gem of a high tech manufacturing business providing significant employment with excellent benefits in a wide range of skill levels.

## Part II: Project Information

5(a) Explain your project in detail. This description should include explanation of all activities which will occur due to this project. Attach additional sheets if necessary.

In June, 2011 our business invested in a complete reorganization of the factory floor. We are currently in our third year of the Kaikaku, which means 'radical change' in Japanese. The goal of this transformation was to tear down walls both literally and figuratively and rebuild them from scratch with a new factory layout, which was developed with assistance of external experts in Lean Manufacturing. This process was intended to spark new ideas and methods that would help make our manufacturing more effective and efficient. The implementation of this lean manufacturing project has required significant investment of company capital and resources. These resources were utilized to try and drive the Kaikaku goal of placing every piece of machinery in a strategic place, with new lean manufacturing knowledge, work flow strategies, and out-of-the-box approaches to becoming more competitive thus giving Goodrich Rome a world-wide competitive advantage in its aerospace and industrial markets.

These efforts focused on measuring part travel and employee travel with a goal to reduce those 'non-value added' activities and thereby reducing waste and cost. We moved hand tools to point of use, moved all 320 pieces of machinery in to logical flow lines to increase throughput through our manufacturing process, and created pull system for efficient inventory management. We moved furnaces, paint shops, clean rooms and measure equipment. This was all accomplished with the assistance of 10 professional machine movers, electricians, pipe fitters, a cleaning crew, and all our employees' working together to complete the project in less than 2 weeks. This aggressive goal was set in order to minimize disruption of deliveries to our customers.

From June 2011 to date Goodrich has invested well over \$6.5 million in manufacturing process improvements and new machinery for this Kaikaku effort.

The re-organization of the manufacturing floor resulted in the creation of 14,000 square feet of 'green field space' or new open areas. This additional square footage will provide increased capacity to support growth without having to invest in brick and mortar.

In 2013 and 2014, if we are awarded a PILOT, we plan to continue and complete the Kaikaku; purchasing and installing additional new machinery/ equipment, creating more well defined, visually apparent, fluid operating areas and processes; applying high durability, no slip flooring in the entire manufacturing area, and overall bringing the facility to a UTC Gold Level (the "Project"). This Project will ensure that Goodrich Rome continues to be a competitive key supplier to aerospace and petrochemical industry customers worldwide from its Mohawk Valley location at 104 Otis Street, Rome, New York.

## Reasons for Project

6(a) Please explain in detail why you want to undertake this project.

The Project continues our goal of reducing our cost structure and increasing production quality, allowing Goodrich Rome to be more competitive and win more work.

More importantly, in late 2012 Goodrich was acquired by UTC (United Technologies Corporation). This is a \$55 billion in revenue company with over 200,000 employees worldwide. With new owners Goodrich needs to make every effort to solidify the facility's position in Central New York. By continuing to implement the Kaikaku and improving our cost structure through this Project, Goodrich will continue down its growth path, illustrating to UTC our commitment to continuous improvement and overall success. Strengthening the business at this site will, in turn, support the local economy by providing tax revenue, supporting local vendors, and funding an annual payroll of \$17.3 million.

6(b) Why are you requesting the involvement of the Agency in your project?

We are requesting participation in the PILOT program in order to further ensure our competitive position and secure the jobs and facilities.

Our cost structure has been based on the 33% reduction in our taxes as originally granted in 2001. Continuance of this benefit will allow us to keep our pricing competitive. With competitive pricing we will continue to win new business, secure and grow our employment levels while providing our employees with a wage and benefit package that attracts and retains the best and brightest that central New York has to offer. Not only do we need to keep our cost structure intact, but we also need to continue to invest in technology. We sell highly engineered products and manufacture them with unique, proprietary processes. If we fall behind in our investments, we will no longer lead the market in technology improvement developments and will lose business to our competitors.

Perhaps most importantly, local development support will indicate to UTC that its investment and its jobs are appreciated and welcomed, resulting in future positive relationships and partnering.

6(c) How will the Applicant's plans be affected if Agency approval is not granted?

We will not go forward with future investments. The continued viability of our site may be jeopardized. UTC is a \$55 billion revenue corporation with excess capacity in many of their locations throughout the world. We, at Goodrich Rome, are taking preventative steps to move forward and position ourselves so that UTC will not consider moving our site, but will continue this Project and support this site and its business.

- 6(d) Is the proposed project reasonably necessary to discourage the Applicant from removing such other plant or facility to a location outside the State of New York?  
 Yes  No **If yes, please explain briefly.**

Please ref 6 (a) above

Is the proposed project reasonably necessary to preserve the competitive position of the Applicant in its respective industry?  Yes  No **If yes, please explain briefly.**

Please ref 6 (a) above

- 6(e) Will financing by the Agency result in the removal or abandonment of a plant or other facility of the applicant or any related entity presently located in another area of New York State?  
 Yes  No **If yes, please explain briefly the reason for the move.**

- 6(f) Has the Applicant or any related entity previously secured financial assistance in Oneida County (whether through the Agency, the Empire State Development Corporation, or any other entity)?  Yes  No  
**If yes, please explain (indicate date of benefit, location of facility and outstanding balance).**  
ESC assist was provided and agency has previously provided PILOT to the landlord

- 6(g) Has the Applicant or any related entity secured financial assistance anywhere within the United States within the last 90 days or does the Applicant or any related entity anticipate receiving financial assistance within the next 90 days?  Yes  No  
**If yes, please explain.**



6(h) Check all categories best describing the **type of project**:

- Manufacturing
- Industrial Assembly or Service
- Research and Development
- Warehousing
- Commercial or Recreational
- Pollution Control (specify) \_\_\_\_\_
- Other (specify) Reorganization of manufacturing floor

6(i) Check all categories best describing the **scope of the project**:

- Acquisition of land
- Acquisition of existing building
- Renovations to existing building
- Construction of addition to existing building
- Demolition of existing building
- Construction of a new building
- Acquisition of machinery and/or equipment
- Installation of machinery and/or equipment
- Other (specify) Reorganization and recovering of manufacturing floor

6(j) Please indicate the financial assistance you are requesting of the Agency, and provide the estimated value of said assistance.

<b>Assistance</b>	<b>Estimated Value</b>
<input checked="" type="checkbox"/> Real Property Tax Abatement	\$
<input type="checkbox"/> Mortgage Tax Exemption	\$ _____
<input checked="" type="checkbox"/> Sales and Use Tax Exemption	\$
<input type="checkbox"/> Issuance by the Agency of Tax Exempt Bonds	\$ _____

**Part III: Facility Information**

**Facility (Physical Information) If multiple locations please provide information on all.**

7(a) Street Address of Facility:

104 Otis Street

7(b) City, Town and/or Village:

Rome, NY

*(Note: It is important that you list **all** incorporated municipalities in which the facility lies. This information will be used in scheduling a public hearing as required by statute.)*

7(c) School District:

Rome, NY

7(d) Tax Account Number(s):

243.000-1-1.3-1

**Attach copies of the most recent real property tax bills. Include copies for all taxing jurisdiction for the site/ facility that IDA assistance is being sought.**

7(e) For what purpose was the facility site most recently used (i.e., light manufacturing, heavy manufacturing, assembly, etc.)?

Manufacturing

7(f) Zoning Classification of location of the project:

\_\_\_\_\_

7(g) Please describe in detail the facility to be acquired, constructed or renovated (including number of buildings, square footage, number of floors, type of construction,) and attach plot plans, photos or renderings, if available. ***Please be as specific as possible.***

N/A

7(h) Has construction or renovation commenced?  Yes  No

If yes, please describe the work in detail, including the date of commencement.

If no, indicate the estimated dates of commencement and completion:

Construction commencement: \_\_\_\_\_

Construction completion: \_\_\_\_\_

7(i) Will the construction or operation of the facility or any activity which will occur at the site require any local ordinance or variance to be obtained or require a permit or prior approval of any state or federal agency or body (other than normal occupancy and/or construction permits)?

Yes  No

If yes, please describe.

7(j) Will the project have a significant effect on the environment?  Yes  No

**Important: please attach Environmental Assessment Form to this Application.**

7(k) What is the useful life of the facility? 39 years

7(l) Is the site in an Empire Zone?  Yes  No

If yes, which Empire Zone: Certification received 6/15/2002 Cert # 58-0180-2742

Is the business Empire Zone certified at this location:  Yes  No

Attached a copy of the last Business Annual Report filed. If not certified, explain why not:

Attached is the most recent BAR submitted. Exhibit B

**Facility (Legal Information)**

8(a) With respect to the **present owner** of the facility, please give the following information:  
*(Note: the present owner is not necessarily the user of the facility, but that party which holds legal title to the facility.)*

Legal Name: Becknell LLC

Address 201 West Springfield Ave.  
Suite 601  
Champaign, IL 61820

Telephone: \_\_\_\_\_

Balance of Mortgage: N/A

Holder of Mortgage: N/A

If the Applicant is not the present owner of the facility, please attach any written agreements and contracts concerning the acquisition of the real property and/or equipment.

Lease agreement available upon request

8(b) Is there a legal relationship, directly or indirectly, by virtue of common control or through related persons, between the Applicant and the present owner of the facility?

Yes  No. If yes, please explain.

8(c) Will a related real estate holding company, partnership or other entity, be involved in the ownership structure of the transaction?

Yes  No. If yes, please explain.

8(d) Will the title owner of the facility/ property also be the user of the facility?

Yes  No. If no, please explain.

In 2001, this manufacturing facility was custom built for Goodrich and has been leased from Becknell, an Illinois limited liability corporation

8(e) Is the Applicant currently a tenant in the facility?  Yes  No

8(f) Are you planning to use the entire proposed facility?  
 Yes     No

If no, please give the following information with respect to tenant(s) which will remain in the facility after the completion of the project:

<u>Name of Tenant</u>	<u>Floors Occupied</u>	<u>Square Feet Occupied</u>	<u>Nature of Business</u>
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8(g) Are any of the tenants related to the owner of the facility?  
 Yes     No  
If yes, please explain.

8(h) Will there be any other users other than the applicant to the IDA be utilizing the facility?  
 Yes     No  
If yes, please explain. Provide detail of the contractual arrangement including any financial exchange for the use of the site or property.

### Equipment

9(a) List the principal items or categories of equipment to be acquired as part of the project. (As soon as one is available but prior to final authorizing resolution, please submit a detailed inventory of said equipment.)

See Attached.

9(b) Please provide a brief description of any equipment which has already been purchased or ordered, attach all invoices and purchase orders, list amounts paid and dates of expected delivery. Attach a sheet if needed.

See attached

9(c) What is the useful life of the equipment?        \_\_\_\_\_15\_\_\_\_\_ years



**Part V: Estimated Project Cost and Financing**

11(a) List the costs necessary for the construction, acquisition or renovation of the facility. Do **not** include working capital needs, moving expenses, work in progress, stock in trade, Applicant's debt repayment, real estate broker fees or your legal fees.

Acquisition of Land	_____
Acquisition of Building(s)	_____
Renovation Costs	_____
New Construction of Buildings	_____
Machinery and Equipment (other than furniture costs)	7,519,200
Fixtures	_____
Installation Costs	_____
Fees (other than your own broker and legal fees)	_____
Legal Fees (IDA legal fees, Applicant legal fees)	_____
Architectural/Engineering	180,000
Interest on Interim Financing	_____
Other (specify)	1,730,700
Subtotal	9,429,000
<b>Agency Fee<sup>1</sup></b>	<u>5,000</u>

**Total Project Cost \$9,434,000**

<sup>1</sup>See Attached Fee Schedule (Page 19) for Agency Fee amount to be placed on this line

11(b) What amount of the Total Project Cost is the Applicant requesting financing by the Agency?

\_\_\_\_\_ \$0 \_\_\_\_\_

**Real Estate Taxes**

12(a) For each tax parcel which comprises the facility, please provide the following information, using figures from the most recent tax year:

Tax Acct #	Current Assessed Value (Land)	Current Assessed Value (Building)	Real Estate Taxes
School Tax	With Building	\$5,703,239	\$107,012.04
City of Rome	With Building	\$5,703,239	\$162,609.51

12(b) Address of Receiver of Town and/or Village Taxes:

Office of the City Treasurer, Rome City Hall  
198 N. Washington Street  
Rome, NY 13440

12(c) Address of Receiver of School Taxes:

Rome City School District  
409 Bell Road  
Rome, NY 13400

12(d) Will the completion of the proposed project result in the increase of the assessment of any of the parcels named above? [ ] Yes [ x ] No

If yes, please indicate which tax account numbers will be affected.



**Financial Information**

13(a) Has the Applicant contacted any bank, financial/lending institution or private investor with respect to the financing of the proposed project?

Yes  No

**If yes**, please provide details.

13(b) Has the Applicant received a commitment letter for said financing?

Yes  No NA

**If yes**, please submit a copy of said commitment letter along with this Application.

13(c) please complete the Cost/Benefit Analysis form and attach to this Application. As you complete and have questions please call.

## REPRESENTATIONS AND CERTIFICATION BY APPLICANT

The undersigned requests that this Application be submitted for review to the Oneida County Industrial Development Agency's Board of Directors.

Approval of the Application can be granted solely by this Agency's Board of Directors. The undersigned acknowledges that Applicant shall be responsible for all costs incurred by the Agency and its counsel in connection with the attendant negotiations whether or not the transaction is carried to a successful conclusion.

The Applicant further understands and agrees with the Agency as follows:

1. **Annual Sales Tax Filings.** In accordance with Section 858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, in accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
2. **Annual Employment Reports.** The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site as well as tax benefits received with the action of the OCIDA.
3. **Absence of Conflict of Interest.** The Applicant has consulted the OCIDA website of the list of the Agency members, officers and employees of the Agency. No member, officer, or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as herein after described:
4. **Hold Harmless.** Applicant hereby releases Oneida County Industrial Development Agency and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects

the Application, or if the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the processing of the Application, including attorneys' fees, if any.

5. The applicant acknowledges that the OCIDA has disclosed that the actions and activities of the OCIDA are subject to the Public Authorities Accountability Act signed into law January 13, 2006 as Chapter 766 of the 2005 Laws of the State of New York.

The Applicant and the individual executing this Application on behalf of the Applicant acknowledge that the Agency will rely on the representations made herein when acting on this Application and hereby represent that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

Goodrich Corporation, a UTC Aerospace Systems Company, doing business by and through its Power Transmission Systems business unit  
(Applicant)

By: \_\_\_\_\_

Name: Jose' Colon

Title: Controller

Date: 5-10-13

If the application has been completed by or in part by other than the person signing this application for the applicant please indicate who and in what capacity:

By: \_\_\_\_\_

Name: Chad

Title: General Manager

Date: 5-10-13

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Return the original application and two copies with a check in the amount of \$1500.00 made payable to: **Oneida County Industrial Development Agency (OCIDA)**, 584 Phoenix Drive, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Executive Director. \$1000 will be applied at closing against the IDA legal fees. In addition, an electronic version of the application (signed), SEQR form (signed), and Cost/benefit to [spapale@mvedge.org](mailto:spapale@mvedge.org).

## **Agency Fee Schedule**

Commitment Fee: \$1,000 – due following the initial inducement but prior to scheduling of the public hearing; this amount is non-refundable if the applicant fails to close on the project before the IDA. Upon closing with the IDA this amount is applied to the legal closing fees.

Bond Fees: ½ of 1% of total bond amount

IDA Agency Fee: PILOT, Mortgage Recording Exemption, Sales Tax Exemption:

- o Up to a \$1.0 Million project - \$5,000
- o Above \$1.0 Million project up to \$10.0 Million project – ½ of 1% of total project cost.
- o Above \$10.0 Million project – ½ of 1% of total project cost up to \$10.0 Million plus incremental increase of ¼ of 1% of total project above \$10.0 Million.

Agency Counsel fee:

Set by Bond/Transaction Counsel based upon the nature and complexity of the transaction. This applies to bond and non-bond transactions (leasebacks, sale-leasebacks, etc).

Agency Counsel fees in Bond and non bond transactions will not normally exceed the greater of (a) 2% of the Bond amount or project costs or (b) a minimum is in customary transactions \$8,500 to \$10,000.

Annual Fee:

For the term in which the property remains in the IDA's name, an annual lease payment is due in the amount of \$500. The first payment is due at closing. This amount is due on the anniversary date of the first date of the month in which the IDA documents were executed. For annual fees not paid and delinquent, a late charge of \$50 per month will be levied until such time the fee plus late charges are paid.

Other fees:

For an IDA property which requires follow up action – a 1/8 of one percent of the total reissuance, redemption, new or revised mortgage, refinancing, spreading agreement or other transaction requiring action of the IDA shall be 1/8 of one percent of total project amount for a minimum payment to the IDA of \$500.

Revised 3-8-12

PJLOT BILL

JOSEPH R FUSCO, JR  
Mayor



DAVID C NOLAN  
City Treasurer  
(315) 339-7678

PASQUALE A. LISANORELLI  
Deputy Treasurer  
(315) 339-7680

OFFICE OF THE CITY TREASURER  
ROME CITY HALL, 198 N. WASHINGTON STREET  
ROME, NEW YORK 13440-5815  
Fax: (315) 838-1165  
www.rome-ny.gov

January 23, 2013

Goodrich Power Transmission Systems  
ATTN: Accounts Payable  
104 Otts Street  
Rome, NY 13441-4714

Dear Mr. Harrington:

The following in lieu of tax payment is due the City of Rome for the 2013 tax year.

<u>Tax Map #</u>	<u>Assessment</u>	<u>Tax Rate</u>	<u>%Due</u>	<u>Amount Due</u>
243.000-1-1.3-1	\$ 5,703,239	20.0930	50%	57,297.71
<b>Total Due By April 30, 2013</b>				<b>\$ 57,297.71</b>

<u>Tax Map #</u>	<u>Assessment</u>	<u>Tax Rate</u>	<u>%Due</u>	<u>Amount Due</u>
243.00-1-1.3-1	\$ 5,703,239	20.0930	50%	57,297.71
<b>Total Due By October 31, 2013</b>				<b>\$ 57,297.71</b>

**2013 TOTAL \$ 114,595.42**

PLEASE MAKE CHECK PAYABLE TO THE CITY TREASURER.

PLEASE MAIL CHECKS TO MY ATTENTION AND DO NOT COMBINE WITH ANY OTHER TAX PAYMENTS.

Year 2008 - 2012 2/3 of total amount due per contract.

Year 2013 →→→ 100%

Payments are due by the dates listed above. If you have any questions please call me at 339-7688

Sincerely,

Dianne Schink  
City Accountant  
Treasurer's Office

Issue Date
10/01/2012

ROME CITY SCHOOL DISTRICT  
 409 BELL ROAD  
 ROME, NY 13440

3736  
 Invoice Number  
 026-13A



# INVOICE

<b>Issued To:</b> BECKNELL LLC ATTN: DANIEL HARRINGTON 201 W. SPRINGFIELD AVE SUITE 601 CHAMPAIGN, IL 61820  000925
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Due Date: 10/31/2012

Item Number	Item Description	Amount												
	The following In lieu of tax payment is due the Rome City School District for the tax year 2012-13:	162,609.51												
	<table border="0"> <tr> <td>Tax Map#</td> <td>Assessment</td> <td>Tax Rate</td> <td>Amount Due</td> </tr> <tr> <td>243.000-1-1.3-1</td> <td>5,683,239</td> <td>28.61</td> <td>\$162,609.51</td> </tr> </table>	Tax Map#	Assessment	Tax Rate	Amount Due	243.000-1-1.3-1	5,683,239	28.61	\$162,609.51					
Tax Map#	Assessment	Tax Rate	Amount Due											
243.000-1-1.3-1	5,683,239	28.61	\$162,609.51											
	<table border="0"> <tr> <td>Payment Due by October 31st, 2012</td> <td>\$81,304.75</td> </tr> <tr> <td><del>Payment Due by April 30, 2013</del></td> <td><del>\$81,304.75</del></td> </tr> </table>	Payment Due by October 31st, 2012	\$81,304.75	<del>Payment Due by April 30, 2013</del>	<del>\$81,304.75</del>									
Payment Due by October 31st, 2012	\$81,304.75													
<del>Payment Due by April 30, 2013</del>	<del>\$81,304.75</del>													
	<table border="0"> <tr> <td>PILOT Schedule:</td> <td>Years</td> <td>% Due</td> </tr> <tr> <td></td> <td>2002/03-2006/07</td> <td>33.3%</td> </tr> <tr> <td></td> <td>2007/08-2011/12</td> <td>68.7%</td> </tr> <tr> <td></td> <td>2012/13-forward</td> <td>100.0%</td> </tr> </table>	PILOT Schedule:	Years	% Due		2002/03-2006/07	33.3%		2007/08-2011/12	68.7%		2012/13-forward	100.0%	
PILOT Schedule:	Years	% Due												
	2002/03-2006/07	33.3%												
	2007/08-2011/12	68.7%												
	2012/13-forward	100.0%												
	Please return a copy of this invoice with payment for proper posting and make check payable to Rome City School District.													
	If you have any questions, please call or email me.													
	Sincerely,													
	David Dreidel, CPA District Treasurer ddreidel@romecsd.org 315-338-6581 (office) 315-334-4083 (fax)													
	<b>Invoice Total</b>	<b>162,609.51</b>												

Please return remittance copy with payment to:  
 Rome City School District  
 409 Bell Road  
 Rome, NY 13440  
 Attn: Accounts Payable

EX-21 6 d477572dex21.htm EX-21

Exhibit 21

**United Technologies Corporation  
Subsidiary and Affiliate Listing  
December 31, 2012**

<u>Entity Name</u>	<u>Place of Incorporation</u>
3090445 Nova Scotia Limited	Canada
3234808 Nova Scotia Limited	Canada
Australia Holdings Inc.	Delaware
Beesail Limited	United Kingdom
Caricor Ltd.	Delaware
Carrier Commercial Refrigeration, Inc.	Delaware
Carrier Corporation	Delaware
Carrier Enterprise, LLC	Delaware
Carrier HVACR Investments B.V.	Netherlands
Carrier Technologies ULC	Canada
Ceesail Limited	United Kingdom
Chubb Fire & Security Limited	United Kingdom
Chubb Fire & Security Pty Ltd	Australia
Chubb Fire Limited	United Kingdom
Chubb Group Limited	United Kingdom
Chubb International (Netherlands) BV	Netherlands
Chubb International Holdings Limited	United Kingdom
Chubb International Limited	United Kingdom
Chubb Limited	United Kingdom
Chubb Nederland B.V.	Netherlands
Chubb Security Holdings Australia Limited	Australia
Commonwealth Luxembourg Holdings S.à r.l.	Luxembourg
Delavan Inc.	Delaware
Derco Logistics, Inc.	Wisconsin
Devonshire Switzerland Holdings GmbH	Switzerland
Empresas Carrier, S. De R.L. De C.V.	Mexico
Fyrnetics (Hong Kong) Limited	Hong Kong
Goodrich Aerospace Canada Ltd	Canada
Goodrich Control Systems	United Kingdom
Goodrich Landing Gear, LLC	Delaware
Goodrich Luxembourg Holdings S.à r.l.	Luxembourg
Goodrich XCH Luxembourg B.V.	Luxembourg
Gulf Security Technology Company Limited	China
Hamilton Sundstrand Corporation	Delaware
Hamilton Sundstrand Holdings, Inc.	Delaware
Hamilton Sundstrand International Holdings (Luxembourg) S.à r.l.	Luxembourg
HEJ Holding, Inc.	Delaware
Helicopter Support, Inc.	Connecticut
International Aero Engines, LLC	Delaware
International Comfort Products, LLC	Delaware
JMS I Corporation	Delaware
Kidde America Inc.	Delaware
Kidde Fire Protection Inc.	Delaware
Kidde Graviner Limited	United Kingdom
Kidde Holdings Limited	United Kingdom
Kidde International Limited	United Kingdom
Kidde Limited	United Kingdom
Kidde Technologies Inc. (*)	Delaware
Kidde UK	United Kingdom



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**United Technologies Corporation  
Subsidiary and Affiliate Listing  
December 31, 2012**

<u>Entity Name</u>	<u>Place of Incorporation</u>
Kidde US Holdings Inc.	Delaware
KNA Inc.	Delaware
Latin American Holding, Inc.	Delaware
Lenel Systems International, Inc.	Delaware
Netherlands Parkview Cooperatief U.A.	Netherlands
Nippon Otis Elevator Company NSI, Inc.	Japan Delaware
Otis Elevator (China) Company Limited	China
Otis Elevator Company	New Jersey
Otis Elevator Korea	Republic of Korea
Otis Holdings GmbH & Co. OHG	Germany
Otis Limited	United Kingdom
Otis Pacific Holdings B.V.	Netherlands
Otis S.C.S.	France
Parkview Participations LLC	Delaware
Parkview Treasury Services (UK) Limited	United Kingdom
Pratt & Whitney Aero Engines International GmbH	Switzerland
Pratt & Whitney Canada Corp.	Canada
Pratt & Whitney Canada Holdings Corp.	Canada
Pratt & Whitney Canada Leasing, Limited Partnership	Canada
Pratt & Whitney Engine Leasing, LLC	Delaware
Pratt Aero Limited Partnership	Canada
Pratt & Whitney Holdings LLC	Cayman Islands
Pratt & Whitney Materials International S.à r.l.	Switzerland
Pratt & Whitney Power Systems, Inc.	Delaware
Pratt & Whitney Rocketdyne, Inc.	Delaware
Rohr, Inc.	Delaware
Rosemount Aerospace Inc.	Delaware
Shanghai Yileng Carrier Air Conditioning Equipment Company Limited	China
SICLI Holding SAS	France
Sikorsky Aircraft Corporation	Delaware
Sikorsky Global Helicopters, Inc.	Pennsylvania
Sikorsky International Operations, Inc.	Delaware
Simmonds Precision Products, Inc.	New York
Sirius Korea Limited	United Kingdom
South American Cooperatief U.A.	Netherlands
Trenton Luxembourg S.à r.l.	Luxembourg
Trumbull Holdings SCS	France
United Technologies Australia Holdings Limited	Australia
United Technologies Canada, Ltd.	Canada
United Technologies Cortran, Inc.	Delaware
United Technologies Electronic Controls, Inc.	Delaware
United Technologies Far East Limited	Hong Kong
United Technologies Finance (U.K.) Limited	United Kingdom
United Technologies France SAS	France
United Technologies Holding GmbH	Germany
United Technologies Holdings Italy Srl	Italy
United Technologies Holdings Limited	United Kingdom
United Technologies Holdings SAS	France

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**United Technologies Corporation  
Subsidiary and Affiliate Listing  
December 31, 2012**

<u>Entity Name</u>	<u>Place of Incorporation</u>
United Technologies Intercompany Lending Ireland Limited	Ireland
United Technologies International Corporation	Delaware
United Technologies International Corporation-Asia Private Limited	Singapore
United Technologies International Operations, Inc.	Delaware
United Technologies International SAS	France
United Technologies Luxembourg S.à r.l.	Luxembourg
United Technologies Paris SNC	France
UT Finance Corporation	Delaware
UT Luxembourg Holding II S.à r.l.	Luxembourg
UT Park View, Inc.	Delaware
UTC (US) LLC	Delaware
UTC Canada Corporation	Canada
UTC Fire & Security Americas Corporation, Inc	Delaware
UTC Fire & Security Corporation	Delaware
UTC Fire & Security Luxembourg S.à r.l.	Luxembourg
UTCL Holdings, Limited	Canada
UTCL Investments B.V.	Netherlands
UTX Holdings S.C.S.	France
Wytownia Sprzetu Komunikacyjnego PZL-Rzeszow S.A.	Poland
Xizi Otis Elevator Company Limited	China
Zardoya Otis, S.A.	Spain

\* Kidde Technologies Inc. also conducts business as Kidde Aerospace, Kidde Aerospace & Defense, Fenwal Safety Systems, and Kidde Dual Spectrum.

Other subsidiaries of the Registrant have been omitted from this listing because, considered in the aggregate as a single subsidiary, they would not constitute a significant subsidiary as defined by Rule 1-02 of Regulation S-K.