
APPLICATION FOR FINANCIAL ASSISTANCE

Oneida County Industrial Development Agency

584 Phoenix Drive
Rome, New York 13441-1405
(315) 338-0393 telephone
(315) 338-5694 fax

Shawna M. Papale, Executive Director

A non-refundable application fee of \$500.00 must be submitted at the time of application along with a \$1000 commitment fee that will be submitted at the time of application; the \$1000 commitment fee will be applied at closing.

Please submit the original application, SEQR form and Cost/ Benefit and two (2) copies along an electronic copy of the application, SEQR form and Cost/ Benefit with the applicable fee.

All applications must be submitted 10 days prior to meeting.

American Alloy Steel, Inc.

Name of Applicant

Number (to be provided by the agency)

Note to Applicant:

The information requested by this application is necessary to determine the eligibility of your project for Agency benefits. Please answer **all** questions, inserting "none" or "not applicable" where appropriate. If you are providing an estimate, please indicate by inserting "est" after the figure. Attach additional sheets if more space is needed for a response than is provided.

Return the original application and two copies with a check in the amount of \$1500.00 made payable to: **Oneida County Industrial Development Agency (OCIDA)**, 584 Phoenix Drive, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Executive Director. \$1000 will be applied at closing against the IDA legal fees. In addition, an electronic version of the application (signed), SEQR form (signed), and Cost/benefit to spapale@mvedge.org.

Upon the submission of this application to the IDA, this applicant becomes a public document. Be advised that any action brought before the OCIDA is public information. All agenda's for the OCIDA are issued prior to full agency meetings and posted in public domain. If there is information that the applicant feels is proprietary please identify as such and that information will be treated confidentially to the extent permitted by law.

By signing and submitting this Application, the Applicant acknowledges that it received a copy of the Uniform Tax Exemption Policy and the Oneida County IDA Penalty for Failure to Meet Employment Levels as adopted by the Agency and Agency Memorandums pertaining to the benefits of projects financed through the Agency.

A project financed through the Agency involves the preparation and execution of significant legal documents. Please consult with an attorney before signing any documents in connection with the proposed project. You will receive an engagement letter from the OCIDA legal counsel. You will be asked to sign the engagement letter acknowledging you understand the legal fees you will be responsible for them and also acknowledge what the process is. Should you not close and legal services have been rendered by the OCIDA legal counsel, your company will be responsible for those costs.

If your project requires a public hearing, a representative of the applicant is required to be present. A date will be coordinated by the OCIDA legal counsel.

If you have questions how to calculate your companies IDA application fee please consult with the Memorandum to Companies Sale – Leaseback Transactions or please contact the IDA Executive Director.

Part I: Applicant Information

Note: In responding to the following questions, please keep in mind that the Applicant will be party to all of the documents and is the individual or entity which will receive the actual financial assistance from the Agency.

Applicant

1(a) Applicant's Legal Name: American Alloy Steel, Inc.

1(b) Principal Address: 6230 North Houston Rosslyn Rd
Houston, TX 77091

1(c) Telephone/Facsimile Numbers: 713-462-8081
713-462-0527 fax

1(d) Email Address: laurie v@aasteel.com

1(e) Federal Identification Number: 74-1688398

1(f) Contact Person: Laurie Vice

1(g) Is the Applicant a

- Corporation:
If yes, Public Private
If public, on which exchange is it listed?

- Subchapter S
- Sole Proprietorship
- General Partnership
- Limited Partnership
- Limited Liability Corporation/Partnership
- DISC
- Other(specify) _____

1(h) State of Organization (if applicable) Texas

Applicant's Stockholders, Directors and Officers (or Partners)

2(a) Provide the following information with respect to parties with 15% or more in equity holdings:

<u>Name</u>	<u>Address</u>	<u>Percentage of Ownership</u>
Arthur J Moore	13327 Indian Creek Houston, TX 77079	438-30-7420 10.0537%
Kathleen Moore Covey Trust	17110 Ledgefield Cypress, TX 77433	455-94-8763 14.8029%
Barbara Jean Kibling Trust	7748 Park Falls Drive Houston, TX 77095	460-27-7823 14.8029%
James Patrick Moore Trust	11006 Sherwood Ridge Dr Houston, TX 77043	461-96-7131 14.8029%
John Moore Trust	13914 Tree Crossing San Antonio, TX 78247	461-96-7130 14.8029%
James Patrick Moore	11006 Sherwood Ridge Dr Houston, TX 77043	461-96-7131 8.2642%
Barbera Jean Kibling	7748 Park Falls Drive Houston, TX 77095	460-27-7823 5.2139%

2(b) Is the Applicant, or any of the individuals listed in 2(a) above, related directly or indirectly to any other entity by more than 50% common ownership? If so, indicate name of such entity and the relationship.

Yes, Arthur J Moore owns:

Chickadee Properties, LP

Moore Services, Inc.

American Alloy Steel, Inc. owns:

American Alloy Steel of Canada, Inc.

2(c) Is the Applicant affiliated with any other entity, directly or indirectly, other than as listed in the response to 2(a) above? If yes, please indicate name and relationship of such other entity and the address thereof:

None

Applicant's Counsel and Accountant

3(a). Applicant's Attorney

Name/Title: Christopher Giruzzi

Firm: F Christopher Giruzzi Esq PLLC

Address: 1 Hopper Street
Utica, NY 13501

Telephone/Fax: 315-235-1128

3(b) Applicant's Accountant

Name/Title: Craig Shenkman – Tax, Raymie Daroga, Audit

Firm: UHY, LLP

Address: 2929 Allen Parkway, 20th Fl
Houston, TX 77019-7100

Telephone/Fax: 713-960-1706 phone
713-960-9549 fax

Business Description

4(a) Describe the nature of your business and principal products and/or services. Attach additional sheets if necessary.

- Wholesale distributor of steel plates and full service center.
- Sell various grades and thicknesses of carbon and alloy plates and roundbar.
- Custom flame cutting.

Part II: Project Information

5(a) Explain your project in detail. This description should include explanation of all activities which will occur due to this project. Attach additional sheets if necessary.

In 2009 American Alloy Steel, Inc, completed a new industrial facility. The space had a warehouse with two bays for 54,000 SF and an office building for 3,975 SF. The warehouse is for the storage of the steel plates and the custom flame cutting operation.

In 2012, it was determined that we need to expand the two bays by about 9,000 SF each or a total of 18,000 SF. Two new cranes were added. Two of the existing cranes were replaced.

A new bay is being added. The additional sq footage is projected to be 28,160 SF. There will be two new cranes in this new area. The new space will be primarily used for the storage of plates.

Reasons for Project

6(a) Please explain in detail why you want to undertake this project.

Due to the consolidation of some operations of the company, we have determined that the expansion of the Rome facility will accommodate the operations. We feel this is a good area logistically to locate the additional space that we need.

6(b) Why are you requesting the involvement of the Agency in your project?

We are interested in the property tax abatement and sales tax incentives that are available.

6(c) How will the Applicant's plans be affected if Agency approval is not granted?

We will have to evaluate the costs overall for the project to determine if the project costs will fit the budget.

6(d) Is the proposed project reasonably necessary to discourage the Applicant from removing such other plant or facility to a location outside the State of New York?

Yes No **If yes**, please explain briefly.

Is the proposed project reasonably necessary to preserve the competitive position of the Applicant in its respective industry? Yes No **If yes**, please explain briefly.

6(e) Will financing by the Agency result in the removal or abandonment of a plant or other facility of the applicant or any related entity presently located in another area of New York State?

Yes No **If yes**, please explain briefly the reason for the move.

6(f) Has the Applicant or any related entity previously secured financial assistance in Oneida County (whether through the Agency, the Empire State Development Corporation, or any other entity)? Yes No

If yes, please explain (indicate date of benefit, location of facility and outstanding balance).

6(g) Has the Applicant or any related entity secured financial assistance anywhere within the United States within the last 90 days or does the Applicant or any related entity anticipate receiving financial assistance within the next 90 days? Yes No
If yes, please explain.

6(h) Check all categories best describing the **type of project**:

- Manufacturing
- Industrial Assembly or Service
- Research and Development
- Warehousing
- Commercial or Recreational
- Pollution Control (specify) _____
- Other (specify) _____

6(i) Check all categories best describing the **scope of the project**:

- Acquisition of land
- Acquisition of existing building
- Renovations to existing building
- Construction of addition to existing building
- Demolition of existing building
- Construction of a new building
- Acquisition of machinery and/or equipment
- Installation of machinery and/or equipment
- Other (specify) _____

6(j) Please indicate the financial assistance you are requesting of the Agency, and provide the estimated value of said assistance. Attach a sheet labeled Annual PILOT. that shows the annual utilization of the Real Property Tax Abatement by year and by taxing jurisdiction.

Assistance	Estimated Value
[] Real Property Tax Abatement	\$ <u>156,042 (3 Yr Period)</u>
[] Mortgage Tax Exemption	\$ _____
[] Sales and Use Tax Exemption	\$ <u>70,000</u>
[] Issuance by the Agency of Tax Exempt Bonds	\$ _____

Part III: Facility Information

Facility (Physical Information) If multiple locations please provide information on all.

7(a) Street Address of Facility:

650 Harbor Way

7(b) City, Town and/or Village:

Rome, NY 13440

*(Note: It is important that you list **all** incorporated municipalities in which the facility lies. This information will be used in scheduling a public hearing as required by statute.)*

7(c) School District:

Rome, NY 13440

7(d) Tax Account Number(s):

242.020-001-018.003

Attach copies of the most recent real property tax bills. Include copies for all taxing jurisdiction for the site/ facility that IDA assistance is being sought.

7(e) For what purpose was the facility site most recently used (i.e., light manufacturing, heavy manufacturing, assembly, etc.)?

Heavy Manufacturing

7(f) Zoning Classification of location of the project:

Manufacturing

7(g) Please describe in detail the facility to be acquired, constructed or renovated (including number of buildings, square footage, number of floors, type of construction,) and attach plot plans, photos or renderings, if available. **Please be as specific as possible.**

A new bay is being added on to the existing two bays. The size of the new bay will be 64 x 440 or a total of 28,160 sq ft. There will be two cranes in the space. The bay will be one floor. They type of construction is a metal building with a metal roof.

7(h) Has construction or renovation commenced? [] Yes [x] No

If yes, please describe the work in detail, including the date of commencement.

If no, indicate the estimated dates of commencement and completion:

Construction commencement: August 1, 2014

Construction completion: November 1, 2014

7(i) Will the construction or operation of the facility or any activity which will occur at the site require any local ordinance or variance to be obtained or require a permit or prior approval of any state or federal agency or body (other than normal occupancy and/or construction permits)?

[] Yes [x] No

If yes, please describe.

7(j) Will the project have a significant effect on the environment? [] Yes [x] No

Important: please attach Environmental Assessment Form to this Application.

7(k) What is the useful life of the facility? 50 years

7(l) Is the site in an Empire Zone? [x] Yes [] No

If yes, which Empire Zone: Rome

Is project located in a former Empire Zone or distressed area: [] Yes [x] No

Provide detail.

Facility (Legal Information)

8(a) With respect to the **present owner** of the facility, please give the following information:
(Note: the present owner is not necessarily the user of the facility, but that party which holds legal title to the facility.)

Legal Name: Chickadee Properties, LP

Address: 6230 North Houston Rosslyn Rd
Houston, TX 77091

Telephone: 713-462-8081

Balance of Mortgage: \$2,593,162

Holder of Mortgage: Berkshire Bank

If the Applicant is not the present owner of the facility, please attach any written agreements and contracts concerning the acquisition of the real property and/or equipment.

8(b) Is there a legal relationship, directly or indirectly, by virtue of common control or through related persons, between the Applicant and the present owner of the facility?
 Yes No. If yes, please explain.

Arthur J Moore is 100% owner of Chickadee Properties, LP. Arthur J Moore owns 10.0537% of American Alloy Steel, Inc.

8(c) Will a related real estate holding company, partnership or other entity, be involved in the ownership structure of the transaction?
 Yes No. If yes, please explain.

8(d) Will the title owner of the facility/ property also be the user of the facility?
 Yes No. If no, please explain.

Chickadee Properties, LP leases the facility to American Alloy Steel, Inc.

8(e) Is the Applicant currently a tenant in the facility? Yes No

8(f) Are you planning to use the entire proposed facility?
[x] Yes [] No

If no, please give the following information with respect to tenant(s) which will remain in the facility after the completion of the project:

<u>Name of Tenant</u>	<u>Floors Occupied</u>	<u>Square Feet Occupied</u>	<u>Nature of Business</u>
-----------------------	------------------------	-----------------------------	---------------------------

8(g) Are any of the tenants related to the owner of the facility?
[x] Yes [] No
If yes, please explain.

See 8(b)

There is common ownership between the owner of the facility and the tenant as described in 8(b) above

8(h) Will there be any other users other than the applicant to the IDA be utilizing the facility?
[] Yes [x] No
If yes, please explain. Provide detail of the contractual arrangement including any financial exchange for the use of the site or property.

Equipment

9(a) List the principal items or categories of equipment to be acquired as part of the project. (As soon as one is available but prior to final authorizing resolution, please submit a detailed inventory of said equipment.)

Two 20 ton overhead cranes will be purchased for the new bay.

9(b) Please provide a brief description of any equipment which has already been purchased or ordered, attach all invoices and purchase orders, list amounts paid and dates of expected delivery. Attach a sheet if needed.

One oxy-fuel torch burning machine has been ordered for the Rome facility. The cost is \$152,422. The machine is expected to be delivered in July. One other oxy-fuel burning machine may be transferred from an operation that is closing some time in the 2nd quarter of 2015. These costs have not been added in the Estimated Project Costs.

9(c) What is the useful life of the equipment? 10 to 20 years

Part IV: Employment Information

10(a) Estimate how many construction jobs will be created or retained as a result of this project.

Construction Jobs 0

10(b) Estimate how many jobs will be created as a result of this project.

Permanent Jobs to be created by Project at Applicant's facility 0 FTE

Average Salary of these jobs: \$ 0

10 (c) What is the applicant entity's current level of employment in Oneida County at the time of application. Please indicate in full time equivalents (FTE).

Permanent Jobs to be retained by Project at location to be assisted with the requested IDA benefits 26 FTE

Average Salary of these jobs: \$ 66,110 (includes benefits)

Other locations in Oneida County 0 FTE

Average Salary of these jobs: \$ 0

Employment in other NYS locations, list below 0 FTE

Average Salary of these jobs: \$ 0

10(c) Please list the NIC codes for the jobs affiliated with this project.

Part V: Estimated Project Cost and Financing

11(a) List the costs necessary for the construction, acquisition or renovation of the facility. Do **not** include working capital needs, moving expenses, work in progress, stock in trade, Applicant's debt repayment, real estate broker fees or your legal fees.

Acquisition of Land	_____
Acquisition of Building(s)	_____
Renovation Costs	_____
New Construction of Buildings	<u>2,000,000</u>
Machinery and Equipment (other than furniture costs)	<u>295,000</u>
Fixtures	_____
Installation Costs	_____
Fees (other than your own broker and legal fees)	_____
Legal Fees (IDA legal fees, Applicant legal fees)	<u>15,000</u>
Architectural/Engineering	<u>35,000</u>
Interest on Interim Financing	_____
Other (specify)	_____
Subtotal	_____
Agency Fee¹	<u>10,000</u>
Total Project Cost	<u>2,355,000</u>

¹See Attached Fee Schedule (Page 19) for Agency Fee amount to be placed on this line

11(b) What amount of the Total Project Cost is the Applicant requesting financing by the Agency?

0

Real Estate Taxes

12(a) For each tax parcel which comprises the facility, please provide the following information, using figures from the most recent tax year:

Tax Acct #	Current Assessed Value (Land)	Current Assessed Value (Building)	Real Estate Taxes
242.020-001-018.003		5,350,000	35,977.86 City of Rome
			51,405.85 Rome City School District
			16,989.17 PILOT Agreement

12(b) Address of Receiver of Town and/or Village Taxes:

Office of the City Treasurer – Rome City Hall
198 N. Washington Street
Rome, NY 13440-5815

12(c) Address of Receiver of School Taxes:

Rome City School District
409 Bell Road
Rome, NY 13440

12(d) Will the completion of the proposed project result in the increase of the assessment of any of the parcels named above? Yes No

If yes, please indicate which tax account numbers will be affected.

242.020-001-018.003

Financial Information

- 13(a) Has the Applicant contacted any bank, financial/lending institution or private investor with respect to the financing of the proposed project?
 Yes No

If yes, please provide details.

- 13(b) Has the Applicant received a commitment letter for said financing?
 Yes No

If yes, please submit a copy of said commitment letter along with this Application.

- 13(c) please complete the Cost/Benefit Analysis form and attach to this Application. As you complete and have questions please call.

REPRESENTATIONS AND CERTIFICATION BY APPLICANT

The undersigned requests that this Application be submitted for review to the Oneida County Industrial Development Agency's Board of Directors.

Approval of the Application can be granted solely by this Agency's Board of Directors. The undersigned acknowledges that Applicant shall be responsible for all costs incurred by the Agency and its counsel in connection with the attendant negotiations whether or not the transaction is carried to a successful conclusion.

The Applicant further understands and agrees with the Agency as follows:

1. **Annual Sales Tax Filings.** In accordance with Section 858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, in accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
2. **Annual Employment Reports.** The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site as well as tax benefits received with the action of the OCIDA.
3. **Absence of Conflict of Interest.** The Applicant has consulted the OCIDA website of the list of the Agency members, officers and employees of the Agency. No member, officer, or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as herein after described:
4. **Hold Harmless.** Applicant hereby releases Oneida County Industrial Development Agency and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified

period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects the Application, or if the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the processing of the Application, including attorneys' fees, if any.

5. The applicant acknowledges that the OCIDA has disclosed that the actions and activities of the OCIDA are subject to the Public Authorities Accountability Act signed into law January 13, 2006 as Chapter 766 of the 2005 Laws of the State of New York.

The Applicant and the individual executing this Application on behalf of the Applicant acknowledge that the Agency will rely on the representations made herein when acting on this Application and hereby represent that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

American Alloy Steel, Inc.
(Applicant)

By: 

Name: Arthur J Moore

Title: President

Date: 6/10/14

If the application has been completed by or in part by other than the person signing this application for the applicant please indicate who and in what capacity:

By: 

Name: Laurie Vice

Title: Vice President / CFO

Date: 6/10/14

Return the original application and two copies with a check in the amount of \$1500.00 made payable to: **Oneida County Industrial Development Agency (OCIDA)**, 584 Phoenix Drive, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Executive Director. \$1000 will be applied at closing against the IDA legal fees. In addition, an electronic version of the application (signed), SEQR form (signed), and Cost/benefit to spapale@mvedge.org.

Agency Fee Schedule

Commitment Fee: \$1,000 – due following the initial inducement but prior to scheduling of the public hearing; this amount is non-refundable if the applicant fails to close on the project before the IDA. Upon closing with the IDA this amount is applied to the legal closing fees.

Bond Fees: ½ of 1% of total bond amount

IDA Agency Fee: PILOT, Mortgage Recording Exemption, Sales Tax Exemption:

- o Up to a \$1.0 Million project - \$5,000
- o Above \$1.0 Million project up to \$10.0 Million project – ½ of 1% of total project cost.
- o Above \$10.0 Million project – ½ of 1% of total project cost up to \$10.0 Million plus incremental increase of ¼ of 1% of total project above \$10.0 Million.

Agency Counsel fee:

Set by Bond/Transaction Counsel based upon the nature and complexity of the transaction. This applies to bond and non-bond transactions (leasebacks, sale-leasebacks, etc).

Agency Counsel fees in Bond and non bond transactions will not normally exceed the greater of (a) 2% of the Bond amount or project costs or (b) a minimum is in customary transactions \$8,500 to \$10,000.

Annual Fee:

For the term in which the property remains in the IDA's name, an annual lease payment is due in the amount of \$500. The first payment is due at closing. This amount is due on the anniversary date of the first date of the month in which the IDA documents were executed. For annual fees not paid and delinquent, a late charge of \$50 per month will be levied until such time the fee plus late charges are paid.

Other fees:

For an IDA property which requires follow up action – a 1/8 of one percent of the total reissuance, redemption, new or revised mortgage, refinancing, spreading agreement or other transaction requiring action of the IDA shall be 1/8 of one percent of total project amount for a minimum payment to the IDA of \$500.

Revised 3-8-12

New York OCIDA Application Support

Real Property Tax Exemption

Project Cost	2,355,000
Assessed Value	1,333,000
Tax Rate	58.53%
Abatement	66.67%
Yearly Abatement	52,014
3 Yr Abatement	156,042

(Per current Property Tax bills assessment is \$900,000 when last expansion cost about \$1.59 million)

Sales and Use Tax Exemption

Cost of Building	2,000,000
Factor for % Eligible	40.00%
	800,000
Sales Tax Rate	8.75%
Estimated Sales and Use Tax Exemption	70,000

PROJECT I.D. NUMBER

617.21


Appendix C

State Environmental Quality Review

SHORT ENVIRONMENTAL ASSESSMENT FORM

For UNLISTED ACTIONS Only

PART I - PROJECT INFORMATION (To be completed by Applicant or Project sponsor)

1. APPLICANT/SPONSOR American Alloy Steel, Inc.	2. PROJECT NAME Rome Facility Expansion
3. PROJECT LOCATION: Municipality City of Rome County Oneida	
4. PRECISE LOCATION (Street address and road intersections, prominent landmarks, etc., or provide map) 650 Harbor Way Rome, NY 13440	
5. IS PROPOSED ACTION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Expansion <input type="checkbox"/> Modification	
6. DESCRIBE PROJECT BRIEFLY: A new bay is being added to the existing steel distribution facility. The dimensions are 64 x 440 or 28,160 SF. Two new 20 ton overhead cranes will be added in the new space. There will be modifications to the parking lot, utilities and storm water management to accommodate the expansion.	
7. AMOUNT OF LAND AFFECTED: Initially acres Ultimately acres	
8. WILL PROPOSED ACTION COMPLY WITH EXISTING ZONING OR OTHER EXISTING LAND USE RESTRICTIONS? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If No, describe briefly	
9. WHAT IS PRESENT LAND USE IN VICINITY OF PROJECT? <input type="checkbox"/> Residential <input checked="" type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input type="checkbox"/> Agriculture <input type="checkbox"/> Park/Forest/Open space <input type="checkbox"/> Other Describe:	
10. Does Action Involve A Permit Approval, Or Funding, Now Or Ultimately From Any Other Governmental Agency (Federal, State Or Local)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If Yes, List Agency Name And Permit/Approval Building And Occupancy Permit From Site Plan Approval - City of Rome Planning Board; Building Permit - City of Rome Codes Enforcement Office NYS DEC - SPDES General Permit for Stormwater Discharge from Construction Activity	
11. DOES ANY ASPECT OF THE ACTION HAVE A CURRENTLY VALID PERMIT OR APPROVAL? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, list agency name and permit/approval	
12. AS A RESULT OF PROPOSED ACTION WILL EXISTING PERMIT/APPROVAL REQUIRE MODIFICATION? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE TO THE BEST OF MY KNOWLEDGE	
Application/sponsor Name: Arthur J Moore	Date: 6/10/14
Signature: 	

If the action is in the Coastal Area, and you are a state agency, complete the Coastal Assessment Form before proceeding with this assessment

PART II – ENVIRONMENTAL ASSESSMENT (To be completed by Agency)

<p>A. DOES ACTION EXCEED ANY TYPE I THRESHOLD IN 6 NYCRR, PART 617.12? If yes, coordinate the review process and use the FULL EAF. <input type="checkbox"/> Yes x No</p>
<p>B. WILL ACTION RECEIVE COORDINATED REVIEW AS PROVIDED FOR UNLISTED ACTION IN 6 NYCRR, PART 617.6? If No, a negative declaration may be superseded by another involved agency. <input type="checkbox"/> Yes x No</p>
<p>C. COULD ACTION RESULT IN ANY ADVERSE EFFECTS ASSOCIATED WITH THE FOLLOWING: (Answers may be handwritten, If legible)</p> <p>C1. Existing air quality, surface or groundwater quality or quantity, noise levels, existing traffic patterns, solid waste production or disposal, potential for erosion, drainage or flooding problems? Explain briefly:</p> <p>C2. Aesthetic, agricultural, archaeological, historic, or other natural or cultural resources; or community or neighborhood character? Explain briefly:</p> <p>C3. Vegetation or fauna, fish, shellfish or wildlife species, significant habitats, or threatened or endangered species? Explain briefly:</p> <p>C4. A community's existing plans or goals as officially adopted, or a change in use or intensity of use of land or other natural resources? Explain Briefly:</p> <p>C5. Growth, subsequent development, or related activities likely to be induced by the proposed action? Explain briefly:</p> <p>C6. Long term, short term, cumulative, or other effects not identified in C1-5? Explain briefly:</p> <p>C7. Other impacts (including changes in use of either quantify or type of energy)? Explain briefly:</p>
<p>D. WILL THE PROJECT HAVE AN IMPACT ON THE ENVIRONMENTAL CHARACTERISTICS THAT CAUSED THE ESTABLISHMENT OF A CEA? <input type="checkbox"/> Yes X No</p>
<p>E. IS THERE, OR IS THERE LIKELY TO BE, CONTROVERSY RELATED TO POTENTIAL ADVERSE ENVIRONMENTAL IMPACTS? <input type="checkbox"/> Yes X No</p>

PART III – DETERMINATION OF SIGNIFICANCE (To be completed by Agency)

INSTRUCTIONS: For each adverse effect identified above, determine whether it is substantial, large, important or otherwise significant. Each effect should be assessed in connection with its (a) setting (i.e. urban or rural); (b) probably of occurring; (c) duration; (d) irreversibility; (e) geographic scope; and (f) magnitude. If necessary, add attachments or reference materials. Ensure that explanations contain sufficient detail to show that all relevant adverse impacts have been identified and adequately addressed.

<p><input type="checkbox"/> Check this box if you have identified one or more potentially large or significant adverse impacts which MAY occur. Then proceed directly to the FULL EAF and/or prepare a positive declaration.</p> <p><input type="checkbox"/> Check this box if you have determined, based on the information and analysis above and any supporting documentation, that the proposed action WILL NOT result in any significant adverse environmental impact AND provide on attachments as necessary, the reasons supporting this determination:</p>	
<p>_____</p> <p>Name of Lead Agency</p>	
<p>_____</p> <p>Print or Type Name of Responsible Officer in Lead Agency</p>	<p>_____</p> <p>Title of Responsible Officer</p>
<p>_____</p> <p>Signature of Responsible Officer in Lead Agency</p>	<p>_____</p> <p>Signature of Prepared (If different from responsible officer)</p>
<p>_____</p> <p>Date</p>	



Board Report

Table 1: Basic Information

Project Name	Plant Expansion
Project Applicant	American Alloy Steel, Inc.
Project Description	AAS will be expanding its storage bays for steel plates by approximately 28,160 sq.ft. The bays will be served by two cranes that will facilitate movement of the plates within the bay. The bay expansion will enable AAS to increase its custom flame cutting operations of large steel plates primarily for the energy [offshore oil rigs] and marine industries. There are 26 current employees at the facility; no new employees are projected, but this expansion will keep the Rome facility in the forefront of future production projects for AAS and lead to the retention of current employment and perhaps increase employment in the long term.
Project Industry	Fabricated Metal Product Manufacturing
Municipality	Rome City
School District	Rome
Type of Transaction	Lease
Project Cost	\$2,345,000
Direct Employment Expected to Result from Project (Annual FTEs)	26

Figure 1: Estimated State & Regional Benefits / Estimated Project Incentives (Discounted Present Value*)



Table 2: Estimated State & Regional Benefits / Estimated Project Incentives Analysis (Discounted Present Value*)

Total State and Regional Benefits	\$1,693,835	
Total Project Incentives	\$404,807	
Projected Employment	State	Region
Total Employment	63	63
Direct**	26	26
Indirect***	8	8
Induced****	9	9
Temporary Construction (Direct and Indirect)	20	20

Table 3: Estimated State & Regional Benefits (Discounted Present Value*)

Total State and Regional Benefits	\$1,693,835
Income Tax Revenue	\$792,067
Property Tax/PILOT Revenue	\$365,092
Sales Tax Revenue	\$536,676

Table 4: Estimated Project Incentives (Discounted Present Value*)

Total Project Incentives	\$404,807
Property Tax	\$344,807
Sales Tax	\$70,000
Less IDA Fee	-\$10,000

* Figures over 10 years and discounted by 3.49%

** Direct - The recipient of IDA assistance adds new jobs to the regional economy and/or retains jobs at risk of being lost to another region. Investments that result in displacing existing jobs (e.g., most retail and many service sector industries) do not fall under this definition.

*** Indirect - The recipient of IDA assistance makes purchases from regional firms, which stimulates suppliers to add jobs and payroll that are new to the regional economy or are saved from being lost to competitors outside the region.

**** Induced - The recipient of IDA assistance by adding to and/or retaining payroll stimulates household spending that is new to the regional economy and/or saved from being lost to competitors outside the region.

© Center for Governmental Research 2014. All rights reserved.

Powered by  informANALYTICS