
APPLICATION FOR FINANCIAL ASSISTANCE

Oneida County Industrial Development Agency

153 Brooks Road
Rome, New York 13441-1405
(315) 338-0393 telephone
(315) 338-5694 fax

Shawna M. Papale, Executive Director

A non-refundable application fee of \$250.00 must be submitted at the time of application, to be credited to the Company at closing.

Please submit the original application and sixteen copies.

All applications must be submitted 10 days prior to meeting.

GLDC B 770 - 774

Name of Applicant

Number (to be provided by the agency)

Note to Applicant:

The information requested by this form is necessary to determine the eligibility of your project for Agency benefits. Please answer **all** questions, inserting "none" or "not applicable" where appropriate. If you are providing an estimate, please indicate by inserting "est" after the figure. Attach additional sheets if more space is needed for a response than is provided.

Return the original application and sixteen copies with a check in the amount of \$250.00 made payable to: **Oneida County Industrial Development Agency**, 153 Brooks Road, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Executive Director.

All information contained in this application will be treated confidentially, to the extent permitted by law. Be advised that any action brought before the OCIDA is public information. All agenda's for the OCIDA are issued prior to full agency meetings and posted in public domain

By signing and submitting this Application, the Applicant acknowledges that it received a copy of the Uniform Tax Exemption Policy adopted by the Agency and Agency Memorandums pertaining to the benefits of projects financed through the Agency.

A project financed through the Agency involves the preparation and execution of significant legal documents. Please consult with an attorney before signing any documents in connection with the proposed project.

Part I: Applicant Information

Note: In responding to the following questions, please keep in mind that the Applicant will be party to all of the documents and is the individual or entity which will receive the actual financial assistance from the Agency.

Applicant

1(a) Applicant's Legal Name: Griffiss Local Development Corporation

1(b) Principal Address: 153 Brooks Road

Rome, NY 13441

1(c) Telephone/Facsimile Numbers: 315-338-0393

315-338-5694

1(d) Email Address: sjdimeo@mvedge.org

1(e) Federal Identification Number: _____

1(f) Contact Person: _____

1(g) Is the Applicant a Corporation:
If yes, Public Private
If public, on which exchange is it listed?
501c(3)

Subchapter S

Sole Proprietorship

General Partnership

Limited Partnership

Limited Liability Corporation/Partnership

DISC

Other(specify) _____

1(h) State of Organization (if applicable) NYS

Applicant's Stockholders, Directors and Officers (or Partners)

2(a) Provide the following information with respect to parties with 15% or more in equity holdings:

<u>Name</u>	<u>Home Address</u>	<u>Social Security No.</u>	<u>Percentage of Ownership</u>
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Property and facility will be owned by GLDC which is a 501c(3) corporation.

2(b) Is the Applicant, or any of the individuals listed in 2(a) above, related directly or indirectly to any other entity by more than 50% common ownership? If so, indicate name of such entity and the relationship.

No.

2(c) Is the Applicant affiliated with any other entity, directly or indirectly, other than as listed in the response to 2(a) above? If yes, please indicate name and relationship of such other entity and the address thereof:

No.

Applicant's Counsel and Accountant

3(a). Applicant's Attorney

Name/Title: Joseph Saunders
Firm: Saunders Kahler
Address: 185 Genesee St., Suite 1400
Utica, NY 13501
Telephone/Fax: 315-733-0419
(315) 724-8522

3(b) Applicant's Accountant

Name/Title: Mark Semo
Firm: D'Arcangelo & Co. LLP
Address: 120 Lomond Court
Utica, NY 13502
Telephone/Fax: 315- 735-5216

Business Description

4(a) Describe the nature of your business and principal products and/or services. Attach additional sheets if necessary.

GLDC has committed to redeveloping an advanced technology cluster of businesses in the Griffiss Business and Technology Park in Technology Heights. Building 770/774, in Technology Heights, has been targeted for renovation and expansion. The approximately 4 acre site is home to the approximate 36,000 square foot facility that shall house a number of technology companies including a division of BAE and other technology complies, including common area for research and development, educational venues, and addition information technology employers.

Part II: Project Information

5(a) Explain your project in detail. This description should include explanation of all activities which will occur due to this project. Attach additional sheets if necessary.

Renovations include of building 770 and **construction and fit out** of the building 770 facility.

Building 770 redevelopment building scope includes building shell and electrical/mechanical improvements:

- Includes improvements to computer rooms, corporate offices and construction of finished restrooms, exterior site improvements.
- Building finishes to floor space
- Vestibule
- Rebalance the HVAC system

Reasons for Project

6(a) Please explain in detail why you want to undertake this project.

Development of class A office space is critical to meet the needs and opportunities of companies in the field of technology development (information technology, cyber security, software development and engineering). The growth of this cluster is opportune with the presence of the Air Force Research Lab. Companies find that the workforce and opportunities associated with the Lab allow them to also look to expand and grow in the Griffiss Business and Technology Park and Mohawk Valley. Without having the availability of expansion space companies would not be in the position to go after additional work and bring it to Rome.

Further, companies located at Technology Heights in the Griffiss Business and Technology Park in addition to the presence of the Griffiss Institute has developed programming to expand the opportunity of technology transfer and development of workforce development programs to benefit the growth and expansion of the technology cluster in our region.

6(b) Why are you requesting the involvement of the Agency in your project?

The involvement of the Agency is critical to ensure that the lease rate provided for the space is in-line with other corporate options; especially those possible out of the area. Further, GLDC is

continuing to expand the employment opportunities in the research and development cluster and cyber security sector.

6(c) How will the Applicant's plans be affected if Agency approval is not granted?

The rental rate would become cost prohibitive and the growth of the area to support high tech companies would not occur.

6(d) Is the proposed project reasonably necessary to discourage the Applicant from removing such other plant or facility to a location outside the State of New York?

Yes No **If yes**, please explain briefly.

The tenant base for the facility has the opportunity to locate closer to other military establishments and not locate in Rome.

Is the proposed project reasonably necessary to preserve the competitive position of the Applicant in its respective industry? Yes No **If yes**, please explain briefly.

The presence of the Lab strengthens GLDC and EDGE pursuing growth in the IT cluster.

6(e) Will financing by the Agency result in the removal or abandonment of a plant or other facility of the applicant or any related entity presently located in another area of New York State?

Yes No **If yes**, please explain briefly the reason for the move.

6(f) Has the Applicant or any related entity previously secured financial assistance in Oneida County (whether through the Agency, the Empire State Development Corporation, or any other entity)? Yes No

If yes, please explain (indicate date of benefit, location of facility and outstanding balance).

The GLDC has received assistance through the State and Federal government for the redevelopment of the Griffiss Business & Technology Park since the realignment of the air force operations. Further the GLDC has worked in cooperation with the IDA to have the former Air Force property transferred to the IDA through the public conveyance process.

6(g) Has the Applicant or any related entity secured financial assistance anywhere within the United States within the last 90 days or does the Applicant or any related entity anticipate receiving financial assistance within the next 90 days? [] Yes [X] No
If yes, please explain.

6(h) Check all categories best describing the **type of project**:

- Manufacturing
- Industrial Assembly or Service
- Research and Development
- Warehousing
- Commercial or Recreational
- Pollution Control (specify) _____
- Other (specify) _____

6(i) Check all categories best describing the **scope of the project**:

- Acquisition of land
- Acquisition of existing building
- Renovations to existing building
- Construction of addition to existing building
- Demolition of existing building
- Construction of a new building
- Acquisition of machinery and/or equipment
- Installation of machinery and/or equipment
- Other (specify) _____

6(j) Please indicate the financial assistance you are requesting of the Agency, and provide the estimated value of said assistance.

Assistance	Estimated Value
<input checked="" type="checkbox"/> Real Property Tax Abatement	\$ <u>99,110</u>
<input checked="" type="checkbox"/> Mortgage Tax Exemption	\$ <u>20,000</u>

[X] Sales and Use Tax Exemption \$ 20,000

[] Issuance by the Agency of Tax Exempt Bonds \$ _____

We are seeking a 1/3 PILOT for years 1-5; 1/2 PILOT for years 6-10; and 3/4 PILOT for years for 11-15 on the occupied space by the private R&D companies. For the GLDC space not leased, occupied for education, and public space we are seeking 100% exemption. As vacant space comes available we would seek to make that taxable; in the meantime we would seek to have that space 100% exempt from real property taxes.

Part III: Facility Information

Facility (Physical Information) If multiple locations please provide information on all.

7(a) Street Address of Facility:

428 – 430 Phoenix Drive

7(b) City, Town and/or Village:

Rome

*(Note: It is important that you list **all** incorporated municipalities in which the facility lies. This information will be used in scheduling a public hearing as required by statute.)*

7(c) School District:

Rome City School

7(d) Tax Account Number(s):

Attached copies of the most recent real property tax bills. Include copies for all taxing jurisdiction for the site/ facility that IDA assistance is being sought.

7(e) For what purpose was the facility site most recently used (i.e., light manufacturing, heavy manufacturing, assembly, etc.)?

Military, to technology/ R&D

7(f) Zoning Classification of location of the project:

7(g) Please describe in detail the facility to be acquired, constructed or renovated (including number of buildings, square footage, number of floors, type of construction,) and attach plot plans, photos or renderings, if available. **Please be as specific as possible.**

Approximate 4 acre site where building 770, 428-430 Phoenix Drive are located.

Building 770 redevelopment building scope includes building shell and electrical/mechanical improvements:

- Includes improvements to computer rooms, corporate offices and construction of finished restrooms, exterior site improvements.
- Building finishes to floor space
- Vestibule
- Rebalance the HVAC system

7(h) Has construction or renovation commenced? Yes No

If yes, please describe the work in detail, including the date of commencement.

If no, indicate the estimated dates of commencement and completion:

Construction commencement: Spring 2011

Construction completion: Spring 2011

7(i) Will the construction or operation of the facility or any activity which will occur at the site require any local ordinance or variance to be obtained or require a permit or prior approval of any state or federal agency or body (other than normal occupancy and/or construction permits)?

Yes No

If yes, please describe.

7(j) Will the project have a significant effect on the environment? Yes No

Important: please attach Environmental Assessment Form to this Application.

7(k) What is the useful life of the facility? 39 years

7(l) Is the site in an Empire Zone? [] Yes [] No

If yes, which Empire Zone: Rome

Is the business Empire Zone certified at this location: [] Yes [] No

Attached a copy of the last Business Annual Report filed. If not certified, explain why not:

Facility (Legal Information)

8(a) With respect to the **present owner** of the facility, please give the following information:
(Note: the present owner is not necessarily the user of the facility, but that party which holds legal title to the facility.)

Legal Name: Same as applicant

Address: _____

Telephone: _____

Balance of Mortgage: _____

Holder of Mortgage: _____

If the Applicant is not the present owner of the facility, please attach any written agreements and contracts concerning the acquisition of the real property and/or equipment.

8(b) Is there a legal relationship, directly or indirectly, by virtue of common control or through related persons, between the Applicant and the present owner of the facility?

[] Yes [] No. If yes, please explain.

8(c) Will a related real estate holding company, partnership or other entity, be involved in the ownership structure of the transaction?

[] Yes [] No. If yes, please explain.

8(d) Will the title owner of the facility/ property also be the user of the facility?

Yes No. If no, please explain. The occupant will be technology company.

8(e) Is the Applicant currently a tenant in the facility? Yes No

8(f) Are you planning to use the entire proposed facility?
 Yes No

If no, please give the following information with respect to tenant(s) which will remain in the facility after the completion of the project:

<u>Name of Tenant</u>	<u>Floors Occupied</u>	<u>Square Feet Occupied</u>	<u>Nature of Business</u>
BAE	connector & 774	28,000	IT
Technology Company	770	4836+-	IT

8(g) Are any of the tenants related to the owner of the facility?
 Yes No
If yes, please explain.

8(h) Will there be any other users other than the applicant to the IDA be utilizing the facility?
 Yes No

If yes, please explain. Provide detail of the contractual arrangement including any financial exchange for the use of the site or property.

BAE occupies 28,000 rent the space for \$14.70 SF for renewal their expiring term with a potential option of 5 year; the technology company will occupy approximately 4,836 +- SF with option on 1417 SF and 2688 SF for \$18.00 initial term (3 year), \$19.00 first renewal 9 (3 year), \$20.00 second renewal term (3 year).

Equipment

9(a) List the principal items or categories of equipment to be acquired as part of the project. (As soon as one is available but prior to final authorizing resolution, please submit a detailed inventory of said equipment.)

n/a

9(b) Please provide a brief description of any equipment which has already been purchased or ordered, attach all invoices and purchase orders, list amounts paid and dates of expected delivery. Attach a sheet if needed.

n/a

9(c) What is the useful life of the equipment? _____ years

Part IV: Employment Information

10(a) Estimate how many construction and permanent jobs will be created or retained as a result of this project.

Construction Jobs _____ 10 _____

Permanent Jobs to be created by Project at Applicant's facility _____ 11 _____

Permanent Jobs to be retained by Project at Applicant's facility _____ 60 _____

10 (b) What is the applicant entity's current level of employment in Oneida County at the time of application. **BAE will increase the number of slots in the building by 23 up from 60. BAE has a total of 130 slots currently. The technology company will retain 11 jobs.**

Part V: Estimated Project Cost and Financing

11(a) List the costs necessary for the construction, acquisition or renovation of the facility. Do **not** include working capital needs, moving expenses, work in progress, stock in trade, Applicant's debt repayment, real estate broker fees or your legal fees.

Acquisition of Land	_____
Acquisition of Building(s)	_____
Renovation Costs	<u>50,000</u>
New Construction of Buildings	_____
Machinery and Equipment (other than furniture costs)	<u>10,000</u>
Fixtures	_____
Installation Costs	<u>20,000</u>
Fees (other than your own broker and legal fees)	_____
Legal Fees	<u>15,000</u>
Architectural/Engineering	<u>10,000</u>
Interest on Interim Financing	_____
Other (specify)	_____
Subtotal	<u>\$105,000</u>
Agency Fee	<u>\$0</u>
Total Project Cost	<u>\$105,000</u>

11(b) What amount of the Total Project Cost is the Applicant requesting financing by the Agency?

Real Estate Taxes

12(a) For each tax parcel which comprises the facility, please provide the following information, using figures from the most recent tax year:

Tax Acct #	Current Assessed Value (Land)	Current Assessed Value (Building)	Real Estate Taxes
		1,800,000	Approx 99,110

12(b) Address of Receiver of Town and/or Village Taxes:

City Rome

12(c) Address of Receiver of School Taxes:

Rome City School District

12(d) Will the completion of the proposed project result in the increase of the assessment of any of the parcels named above? [] Yes [x] No
 If yes, please indicate which tax account numbers will be affected.

The assessment listed includes the estimated increase in taxes due to the new construction.

Financial Information

13(a) Has the Applicant contacted any bank, financial/lending institution or private investor with respect to the financing of the proposed project?

Yes No

If yes, please provide details.

13(b) Has the Applicant received a commitment letter for said financing?

Yes No

If yes, please submit a copy of said commitment letter along with this Application.

13(c) Please complete the Cost/Benefit Analysis form and attach to this Application.

REPRESENTATIONS AND CERTIFICATION BY APPLICANT

The undersigned requests that this Application be submitted for review to the Oneida County Industrial Development Agency's Board of Directors.

Approval of the Application can be granted solely by this Agency's Board of Directors. The undersigned acknowledges that Applicant shall be responsible for all costs incurred by the Agency and its counsel in connection with the attendant negotiations whether or not the transaction is carried to a successful conclusion.

The Applicant further understands and agrees with the Agency as follows:

1. **Annual Sales Tax Filings.** In accordance with Section 858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, in accordance with Section 874(8) of the General Municipal Law, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
2. **Annual Employment Reports.** The Applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site as well as tax benefits received with the action of the OCIDA.
3. **Absence of Conflict of Interest.** The Applicant has received from the Agency a list of the members, officers and employees of the Agency. No member, officer, or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as herein after described:
4. **Hold Harmless.** Applicant hereby releases Oneida County Industrial Development Agency and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects

the Application, or if the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the processing of the Application, including attorneys' fees, if any.

5. The applicant acknowledges that the OCIDA has disclosed that the actions and activities of the OCIDA are subject to the Public Authorities Accountability Act signed into law January 13, 2006 as Chapter 766 of the 2005 Laws of the State of New York.

The Applicant and the individual executing this Application on behalf of the Applicant acknowledge that the Agency will rely on the representations made herein when acting on this Application and hereby represent that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

Griffiss Local Development Corporation
(Applicant)

By: Steven J. DiMeo

Name: Steven J. DiMeo

Title: Authorized Representative

Date: 2/16/11

Return the original and sixteen copies of the application with a check in the amount of \$250.00 made payable to: **Oneida County Industrial Development Agency**, 153 Brooks Road, Rome, New York 13441-1405, Attn.: Shawna M. Papale, Secretary.

Revised: SP 1/25/07

**ONEIDA COUNTY INDUSTRIAL DEVELOPMENT AGENCY
 COST/BENEFIT ANALYSIS
 Required by §859-a(3) of the
 New York General Municipal Law**

GLDC 770/774

Name of Applicant:

Description of Project:

Renovation facility

Name of All Sublessees or Other Occupants of Facility:

Principals or Parent of Applicant:

Products or Services of Applicant to be produced or carried out at facility:

Private Sector IT firm/ office space

Estimated Date of Completion of Project:

Spring Summer 2011

Type of Financing/ Structure:

_____	Tax-Exempt Financing
_____	Taxable Financing
_____	Sale/ Leaseback
X _____	Other: Lease Leaseback

Type of Benefits being Sought by Applicant:

_____	Taxable Financing
_____	Tax-Exempt Bonds
X _____	Sales Tax Exemption on Eligible Expenses Until Completion
X _____	Mortgage Recording Tax Abatement
X _____	Real Property Tax Abatement

Project Costs

Land	
Existing Building	
Existing Bldg. Rehab	60,000
Construction of New Building	0
Addition or Expansion	
Engineering/ Architectural Fees	10000
Equipment	20000
Legal Fees (Bank, Bond, Transaction, Credit Provider, Trustee)	15000
Finance Charges (Title Insurance, Environmental Review, Bank Commitment Fee, Appraisal, Etc.)	0
Agency Fee	0
Other (provide detail)	
TOTAL COST OF PROJECT	105000

Assistance Provided by the Following:

EDGE Loan:	
MVEDD Loan:	
Grants - Please indicate source & Amount:	
Other Loans - Please indicate source & Amount:	

Company Information

Existing Jobs
Created Jobs (over three years)
Retained Jobs

60
11
60

Average Salary of these Positions

70000
70000
70000

Earnings Information for Oneida County

Average Salary of Direct Jobs for Applicant
Average of County Indirect Jobs
Average of Construction Jobs

70000
25000
32000

Note: \$1,000,000 in construction expenditures generates 22 person - years of employment
Construction Person Years of Employment:

10

Calculation of Benefits (3 Year Period)

Direct Jobs

Created
Existing

2310000
12600000

Total Earnings

Revenues

98175
535500

Indirect Jobs

Created
Existing

2062500
11250000

87656.25
478125

Construction - only one year

Person Years

64000

2720

TOTALS Calculation of Benefits (3 Year Period)

28286500

1202176

TAXABLE GOODS & SERVICES

	Spending Rate	Expenditures	State & Local Sales Tax Revenues
Direct Jobs			
Created	36%	831600	81081
Existing	0.36	4536000	442260
Indirect Jobs			
Created	0.36	742500	72393.75
Existing	0.36	4050000	394875
Construction - only one year			
Person Years	0.36	23040	2246
TOTAL TAXABLE GOODS & SERVICES		10183140	992856

Local (3 year) real property tax benefit (assuming 60% of jobs existing and created own a residence) with an average assessment of \$80,000 and the remainder of jobs existing created pay real property taxes through rent based on an average assessment per apartment of \$50,000.

Tax Rate for School District where facility is located: 3408000

Tax Rate for Municipality where facility is located: 1420000

Tax Rate for County: 53.53

Real Property Taxes Paid: 258442.84

COSTS:

Real Property Taxes Abated on Improvements Only (3 yr. Period):	299,000	Amount of Taxes that would be abated by applying PILOT.
Mortgage Tax Abated (1.0%)	20000	(40% of the construction and
Estimated Sales Tax Abated During Constructions Period (8.75%)	20000	Rentals costs times the VYS & Local Sales tax)
		FF&E

NOTE: If there is a tax-exempt financing of all or a portion of the project cost, there is a neutral cost/benefit because of lower interest rates by reason of exclusion of interest from gross income of bondholders for purposes of Federal and State income taxes. Taxable financing carries the same cost/benefit for State Income Tax purposes. Such cost/benefits cannot be quantified.

PROJECT I.D. NUMBER

617.21
Appendix C
State Environmental Quality Review
SHORT ENVIRONMENTAL ASSESSMENT FORM
For UNLISTED ACTIONS Only

PART I – PROJECT INFORMATION (To be completed by Applicant or Project sponsor)

1. APPLICANT/SPONSOR Griffiss Local Development Corporation	2. PROJECT NAME B770-774
3. PROJECT LOCATION: Rome Municipality: _____ County Oneida	
4. PRECISE LOCATION (Street address and road intersections, prominent landmarks, etc., or provide map) 428-430 Phoenix Drive, Rome, NY 13441	
5. IS PROPOSED ACTION: New <input type="checkbox"/> Expansion <input type="checkbox"/> Modification <input checked="" type="checkbox"/>	
6. DESCRIBE PROJECT BRIEFLY: Occupany with minor upgrades to current building space	
7. AMOUNT OF LAND AFFECTED: No impact, current use Initially acres _____ Ultimately 4 acres	
8. WILL PROPOSED ACTION COMPLY WITH EXISTING ZONING OR OTHER EXISTING LAND USE RESTRICTIONS? X Yes <input type="checkbox"/> No If No, describe briefly	
9. WHAT IS PRESENT LAND USE IN VICINITY OF PROJECT? <input type="checkbox"/> Residential <input type="checkbox"/> Industrial <input checked="" type="checkbox"/> Commercial <input type="checkbox"/> Agriculture <input type="checkbox"/> Park/Forest/Open space <input type="checkbox"/> Other Describe: Planned Development	
10. Does Action Involve A Permit Approval, Or Funding, Now Or Ultimately From Any Other Governmental Agency (Federal, State Or Local)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If Yes, List Agency Name And Permit/Approval Building And Occupancy Permit From City Of Rome, NY.	
11. DOES ANY ASPECT OF THE ACTION HAVE A CURRENTLY VALID PERMIT OR APPROVAL? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, list agency name and permit/approval	
12. AS A RESULT OF PROPOSED ACTION WILL EXISTING PERMIT/APPROVAL REQUIRE MODIFICATION? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE TO THE BEST OF MY KNOWLEDGE	
Application/sponsor Name: <u>XGLDC</u>	Date: <u>2/16/11</u>
Signature: <u>[Signature]</u>	

If the action is in the Coastal Area, and you are a state agency, complete the Coastal Assessment Form before proceeding with this assessment

PART II – ENVIRONMENTAL ASSESSMENT (To be completed by Agency)

A. DOES ACTION EXCEED ANY TYPE I THRESHOLD IN 6 NYCRR, PART 617.12? If yes, coordinate the review process and use the FULL EAF.
 Yes No

B. WILL ACTION RECEIVE COORDINATED REVIEW AS PROVIDED FOR UNLISTED ACTION IN 6 NYCRR, PART 617.6? If No, a negative declaration may be superseded by another involved agency.
 Yes No

C. COULD ACTION RESULT IN ANY ADVERSE EFFECTS ASSOCIATED WITH THE FOLLOWING: (Answers may be handwritten, if legible)

C1. Existing air quality, surface or groundwater quality or quantity, noise levels, existing traffic patterns, solid waste production or disposal, potential for erosion, drainage or flooding problems? Explain briefly:
 N/A

C2. Aesthetic, agricultural, archaeological, historic, or other natural or cultural resources; or community or neighborhood character? Explain briefly:
 N/a

C3. Vegetation or fauna, fish, shellfish or wildlife species, significant habitats, or threatened or endangered species? Explain briefly:
 N/a

C4. A community's existing plans or goals as officially adopted, or a change in use or intensity of use of land or other natural resources? Explain Briefly:
 n/a

C5. Growth, subsequent development, or related activities likely to be induced by the proposed action? Explain briefly:
 n/a

C6. Long term, short term, cumulative, or other effects not identified in C1-5? Explain briefly:
 n/a

C7. Other impacts (including changes in use of either quantify or type of energy)? Explain briefly:
 n/a

D. WILL THE PROJECT HAVE AN IMPACT ON THE ENVIRONMENTAL CHARACTERISTICS THAT CAUSED THE ESTABLISHMENT OF A CEA?
 Yes No

E. IS THERE, OR IS THERE LIKELY TO BE, CONTROVERSY RELATED TO POTENTIAL ADVERSE ENVIRONMENTAL IMPACTS?
 Yes No

PART III – DETERMINATION OF SIGNIFICANCE (To be completed by Agency)

INSTRUCTIONS: For each adverse effect identified above, determine whether it is substantial, large, important or otherwise significant. Each effect should be assessed in connection with its (a) setting (i.e. urban or rural); (b) probably of occurring; (c) duration; (d) irreversibility; (e) geographic scope; and (f) magnitude. If necessary, add attachments or reference materials. Ensure that explanations contain sufficient detail to show that all relevant adverse impacts have been identified and adequately addressed.

- Check this box if you have identified one or more potentially large or significant adverse impacts which **MAY** occur. Then proceed directly to the **FULL EAF** and/or prepare a positive declaration.
- Check this box if you have determined, based on the information and analysis above and any supporting documentation, that the proposed action **WILL NOT** result in any significant adverse environmental impact **AND** provide on attachments as necessary, the reasons supporting this determination:

Oneida County IDA.

Name of Lead Agency

Shawna Papale
 Print or Type Name of Responsible Officer in Lead Agency

Executive Director
 Title of Responsible Officer

Signature of Responsible Officer in Lead Agency

Signature of Prepared (If different from responsible officer)

2/16/11

Date

